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# Spatio-temporal characterization of gaseous layer development during plasma electrolytic polishing

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FROM IDEA TO PROTOTYPE



### Outline

- 1. Introduction
  - What is plasma electrolytic polishing (PEP)?
  - Application of PEP
  - Principle of PEP
- 2. Experimental details
- 3. Results and discussion
  - Electrical current and workpiece temperature
  - Electrical current and high-speed camera (bubble behaviour)
  - Evaluation of transferred power towards the substrate
  - Modelling of electrolyte temperature
- 4. Summary



#### Plasma electrolytic polishing (PEP)



#### Advantages of Plasma Electrolytic Polishing (PEP)

- ✓ Enables to treat complex-shaped samples
- ✓ Usage of environmentally-friendly electrolyte (> 90% water)
- ✓ Various surface modifications

e.g. smoothing, degreasing, deburring, and oxidizing ...



Application of PEP

S. An et al., Surf. Coat. Tech. 405 (2021) 126504



- Surface roughness ( $R_a$ ) was reduced from 1.9 to 0.1  $\mu$ m
- Surface contaminants were removed after the PEP process

#### I-V characteristics of PEP



- Current density starts to decrease at the voltage regime V<sub>2</sub>→V<sub>3</sub> due to the appearance of vapor layer around the workpiece
- Material dissolution reaction is dominant rather than surface oxidation in this regime
- The stability of the gaseous layer directly influences the material removal rate and homogeneity



#### PEP: Energy transfer aspect



 Input Electrical energy

### • Output

- 1. Heating of the air
- 2. Evaporation
- 3. Heating of the vessel
- 4. Heating of the electrolyte
- 5. Heating of the workpiece
- 6. Chemical reactions at the workpiece surface
- Electrochemical reactions between electrolyte and gaseous layer/plasma
- 8. Sustaining of plasma

### **Experimental details**



Schematic illustration of PEP experimental setup





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#### Electrical current and temperature measurement



- Electrical current rises as the immersion depth (d) increases (area ↑)
- Initially, the hydrodynamic instability of the gas layer induces an unstable flow of current
- The stabilized gaseous layer lowers current flow
- The maximum workpiece temperatures reach ~ 150 °C (except for 0.5 cm)
- Lowering the immersion depth (d) extends the time needed to achieve equilibrium temperature
  - Less power supplied, hence less power for heating
  - Convective cooling by the air?



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Thermal probe - Evaluation of the power transferred to the workpiece



heating (power supply on)  $\dot{H}_{h} = C_{s}\dot{T}_{h} = P_{in} - P_{out,h}$ 

cooling (power supply off)  $\dot{H}_c = C_s \dot{T}_c = -P'_{out,c}$ 

Simplifying assumption:

 $P_{out,h} = P'_{out,c}$ 

leads to:  $P_{in} = C_s (\dot{T}_h - \dot{T}_c)$ 

**H** : Time derivative substrate enthalpy

 $\dot{\mathbf{T}}$  : Time derivative of the substrate temperature

**C**<sub>s</sub> : Substrate heat capacity

**P**<sub>in</sub>:Power transferred to the substrate

Pout: Power losses from the substrate

[1] Hansen et al., Understanding the energy balance of a surface barrier discharge for various molecular gases by a multi-diagnostic approach, 129 (2021) 053308.





Evaluation of the power transferred to the workpiece



### Time derivative of temperature

- Distinct heating phases observed at varied immersion depths
- For d = 0.5 cm the obtained data is less reliable due to less heating and incomplete gaseous layer

### Power transferred to the workpiece

- LT regime
   P<sub>in</sub> depends on the immersion depth of the workpiece
- HT regime
   P<sub>in</sub> becomes independent of the depth (converging curves ≥ 120 °C)
- Gaseous layer inhibits heat transfer to electrolyte



#### Power efficiency



- Less energy is transferred to the workpiece when stabilized gas layer has developed (~ 4 s in the case of d = 2 cm)
- As the immersion depth increases, the proportion of the power transferred to the workpiece decreases presumably due to more power transfer to electrolyte



#### Energy efficiency



- The fraction of energy transferred from the input electrical energy to the anodic workpiece reduces from 39% to 21%
- In the case of d = 0.5, the value is underestimated due to insufficient treatment time
- As immersion depth increases, more energy consumed to heat the surrounding electrolyte



#### Electrolyte temperature



- The temperature increment is higher when the immersion depth is deeper since more electrical power is consumed with larger exposed workpiece area
- The lowering of immersion depth from 2 to 1 cm causes a deceleration in the rise of electrolyte temperature.



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Modelling of electrolyte temperature



- 2D time-dependent model in cylindrical geometry
- Equations are solved in COMSOL software by finite element numerical method
- Starting conditions: 70 °C at anode, simulating for 30 s of process duration

| ū | flow velocity field                  | $ ho_f$         | density of fluid                    | Т               | Temperature             |
|---|--------------------------------------|-----------------|-------------------------------------|-----------------|-------------------------|
| ρ | density of mixure<br>(gas and fluid) | $\Phi_g$        | volume fraction of gas              | H <sub>gf</sub> | latent heat             |
| р | pressure                             | D <sub>md</sub> | turbulent dispersion<br>coefficient | $\vec{q}$       | conductive heat<br>flux |
| Ι | unit tensor                          | $c_p$           | heat capacity                       | $ ho_g$         | density of gas          |
| K | viscous stress<br>tensor             | m <sub>gf</sub> | mass transfer between gas and fluid |                 |                         |

#### Mixture flow model (turbulent k-ε)

Conservation of momentum

$$\rho \frac{\partial \vec{u}}{\partial t} + \rho \cdot (\vec{u} \cdot \nabla) \vec{u} = \nabla [p\mathbf{I} + \mathbf{K}] + \rho \vec{g}$$

Conservation of mass (continuity equation)

$$\nabla \vec{u} = m_{\rm gf} \left( \frac{1}{\rho_g} - \frac{1}{\rho_f} \right)$$

Transport of gas phase

$$\frac{\partial \Phi_g}{\partial t} + \vec{u} \cdot \nabla \Phi_g = \nabla \left( D_{md} \nabla \Phi_g \right) - m_{gf} \frac{\rho}{\rho_g \rho_f}$$

#### Heat transfer model

Heat transfer in fluid

$$\rho c_p \frac{\partial T}{\partial t} + \rho c_p \vec{u} \cdot \nabla \mathbf{T} + \nabla \vec{q} = -m_{gf} \Delta H_{gf}$$

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Heat transfer in solid

$$\partial c_p \frac{\partial T}{\partial t} + \nabla \vec{q} = 0$$

#### Modelling of electrolyte temperature



- The model fits well with the experimental measurement of electrolyte temperature
- A bump of the temperature at around 20 s is also observed in the modelled curve
- The temperature, gas fraction and flow velocity of the electrolyte are significantly affected by the transport of heated electrolyte in radial direction

#### Modelling of electrolyte temperature



- The temperature simulation explains that in the beginning of the process the heated electrolyte around the workpiece flows to the cathode
- Then the heated electrolyte flows back to the near-workpiece region from the cathode



### Summary

- Electrical and thermal measurements can be correlated and reflect the temporal evolution of the gaseous layer around the workpiece
- Determining the power transferred to the substrate revealed three different regions (LT, IT and HT)
  - LT regime : dependent on the immersion depths (~ 335 W at 2 cm) different slope was observed compared to HT, attributed to the increased electrolyte temperature
     \*Extra consideration needed for the P<sub>in</sub> evaluation.
  - HT regime : converging to ~ 180 W  $\rightarrow$  stable gaseous layer
- Higher immersion depth
  - Energy efficiency on the sample reduces down to ~ 20% due to enhanced heating of the surrounding electrolyte
  - Higher surrounding electrolyte temperature → more electrical power consumed
- Temporal evolution of the electrolyte temperature can be explained by the flow of the heated electrolyte using the 2D time-dependent model



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